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Gastrointestinal diagnosis using non-white light imaging capsule endoscopy

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Key points:

- White light imaging (WLI) remains the dominant diagnostic modality in capsule endoscopy after nearly two decades of clinical use.
- WLI technology limits diagnosis to the mucosal surface of the gut due to the limited penetration depth of optical wavelengths beyond the tissue surface
- In the past few years there has been an increase in the application of non-WLI diagnostic imaging and sensing technologies to capsule endoscopy, some of which are at a more advanced stage of testing than others.
- Integrating specific diagnostic imaging technologies into capsule endoscopy devices enables submucosal imaging, improved differentiation between malignant and benign tissue and new avenues for investigating the aetiology of disease.
- Many of these capsules require further testing to determine their clinical efficacy fully due to the small sample sizes of the reported studies.
- New diagnostic capsule designs will provide new opportunities for improved computer-aided diagnosis, virtual biopsy and capsule localization that could benefit clinical practice in the future.

1 **Abstract**

2 Capsule endoscopy (CE) has proved to be a powerful tool in the diagnosis and management of small bowel
3 disorders since its introduction in 2001. However, white light imaging (WLI) is the principal technology used in clinical
4 CE at present, and therefore, CE is limited to mucosal inspection, with diagnosis remaining reliant on visible
5 manifestations of disease. The introduction of WLI CE has motivated a wide range of research to improve its
6 diagnostic capabilities through integration with other sensing modalities. These developments have the potential to
7 overcome the limitations of WLI through enhanced detection of subtle mucosal microlesions and submucosal and/or
8 transmural pathology , providing novel diagnostic avenues. Other research aims to utilize a range of sensors to
9 measure physiological parameters or to discover new biomarkers to improve the sensitivity , specificity and thus the
10 clinical utility of CE. This multidisciplinary Review summarizes research into non- WLI CE devices by organizing them
11 into a taxonomic structure on the basis of their sensing modality. The potential of these capsules to realize clinically
12 useful virtual biopsy and computer- aided diagnosis (CADx) is also reported.

13

I. [H1]INTRODUCTION

Capsule endoscopy (CE) offers a minimally invasive method to visualize the gastrointestinal (GI) tract. The first CE system approved for clinical use was the M2A capsule (Given Imaging, Yoqneam, Israel) in 2001¹. Since then, several companies have introduced CE systems². As shown in Table 1, commercially available CE systems primarily rely on white light imaging (WLI), which images the mucosal surface with light within the visible spectrum to enable evaluation of the gastrointestinal lumen and mucosa. CE has been investigated as a diagnostic tool for gastrointestinal diseases, such as bleeding, IBD, colorectal cancer (CRC) and Barrett's oesophagus, with varying degrees of success.

Further developments in CE technology have explored the feasibility of other imaging modalities such as microultrasoundography (μ US)^{3,4} and infrared light⁵. Despite the potential offered by these alternative imaging modalities, WLI CE remains most widely used and studied in clinical practice^{6,7}. This Review discusses the limitations of conventional WLI CE in the diagnosis of gastrointestinal disease and gives an overview of the non-WLI capsules currently available on the market or progressing towards clinical translation.

II. [H1]CLINICAL USE OF CAPSULE ENDOSCOPY

The ability to investigate small bowel pathology, particularly in segments inaccessible by conventional endoscopy, has been the cardinal motive for the development of WLI CE systems. Additional advantages of CE over conventional endoscopy include removing the need for patient sedation, increased patient acceptance and reduced costs^{8–10}. Recommendations for the use of CE systems in the colon remain primarily confined to surveillance for patients with an incomplete assessment or contraindications to conventional endoscopy, which is more sensitive and offer interventional options¹. Guidelines for the use of CE in clinical practice provided by the European Society of Gastroenterology (ESGE) and the American Gastroenterological Association (AGA) are summarized in Table 2. An overview of the evidence basis for the use of CE in the diagnosis of various pathologies is provided in Supplementary Table 1.

[H2]Small Bowel

In a meta-analysis comprising 396 patients with small bowel bleeding, the use of CE was associated with superior diagnostic yield compared with both enteroscopy and small bowel radiography¹¹. CE is therefore recommended as

the first-line investigation modality for obscure gastrointestinal bleeding (OGIB), which is defined as gastrointestinal tract bleeding of untraceable origin on esophagogastroduodenoscopy (EGD) and colonoscopy¹. A limitation of current WLI CE systems is the lack of interventional capability to manage small bowel lesions. By contrast, double-balloon enteroscopy (DBE), which relies on the use of a “push and pull” technique assisted by the alternate inflation and deflation of two distally located overtube balloons that enables the endoscope to be advanced either in antegrade (oral introduction) or retrograde (anal introduction) manner, offers the opportunity to perform therapeutic interventions such as injection of hypertonic saline adrenaline and argon plasma coagulation⁶. The use of DBE alone has a poorer diagnostic yield (diagnostic yield 56%) than CE (diagnostic yield 62%), but a complementary strategy of DBE following positive CE has improved detection of OGIB (diagnostic yield 75%)¹² and improved outcomes in managing OGIB (reduced recurrent bleeding and requirement for blood transfusion)¹³. Taken together, these findings suggest that performing DBE is a rational approach in patients with positive CE findings requiring biopsy or therapeutic intervention¹⁴.

Duodenal biopsy (showing villous atrophy) with EGD complemented by raised serological markers is essential for a definitive diagnosis of coeliac disease¹⁵ . In patients contraindicated for EGD or declining the procedure but with positive coeliac serology and unremarkable findings on EGD, small bowel CE can be a useful diagnostic tool ¹⁶. Small bowel CE enables assessment of complications associated with coeliac disease (such as intestinal T-cell lymphoma and ulcerative jejunitis) and image magnification to identify patchy or distal areas of villous atrophy, which can be missed on standard upper digestive endoscopy^{17,18}.

Small bowel CE has consistently shown high sensitivity and specificity for the diagnosis of Crohn's disease. In a meta-analysis including 428 patients, CE had a superior diagnostic yield than ileoscopy, small bowl radiography, CT enterography and magnetic resonance enterography (MRE) in patients with suspected Crohn's disease¹⁹ . More recent studies quantified the diagnostic yield of CE in patients with suspected Crohn's disease to be 76.6% compared with 44.7% of MRE^{20,21}. In addition, although MRE enables the investigation of transmural pathology, it is limited by increased examination time, poorer spatial resolution and increased costs compared with CE²². CE can have an important role in monitoring Crohn's disease activity and response to therapy, particularly with the availability of established disease activity scoring systems (for example the Capsule Endoscopy Crohn's Disease Activity Index, also known as the Niv score and the Lewis score)²³.

Inadequate ampulla of Vater (AoV) visualization, acting as a surrogate marker of segmental visualization of the duodenum, was identified as a limitation of axial CE²⁴. Improved detection of the ampulla of Vater was reported in a separate study that evaluated the use of a lateral-viewing CE platform, the CapsoCam Plus (CapsoVision, California, USA) (diagnostic yield 70%) compared to axial-viewing CE (diagnostic yield 10-44%)²⁵. In a prospective study of 20 patients with familial adenomatous polyposis and Peutz-Jeghers syndrome, CE was superior to MRE in identifying polyps of < 5 mm diameter²⁶. CE is safe in patients who have undergone intestinal surgery and is recommended by the British Society of Gastroenterology every 1–3 years for the long-term surveillance of hereditary polyposis syndromes due to the increased risk of CRC^{27,28}.

[H2]Colon

The wide lumen of the colon means that small bowel CE devices are more likely to move in a random manner, impairing high-quality image acquisition. Newer capsule endoscopes have been developed with double headed lenses, longer battery time and variable image capture rate with improved detection of colonic pathology^{29,30}. In a meta-analysis of studies involving 1292 patients that compared the performance of second-generation colon CE device (COLON2; Medtronic, Minneapolis, USA) with colonoscopy, it was found that COLON2 had a sensitivity and specificity of 86% and 88.1% respectively for detecting polyps >6mm, and detected all invasive cancers (n=11) identified on colonoscopy³¹. COLON 2 had an equivalent performance to colonoscopy for larger polyps (>10mm)³¹, a confounding factor is adequacy of bowel preparation³¹. Specific regimes for optimum bowel cleansing are being developed but they are more vigorous than those used in conventional colonoscopy, thus potentially limiting acceptability by patients. For all the above reasons, colonic CE can be considered if colonoscopy is not complete, the procedure is contraindicated or if patients are unwilling to undergo the procedure¹.

[H2]Oesophagus

The PillCam ESO (Medtronic, Minneapolis, USA), developed in 2004, is the only WLI CE system licensed for examining the oesophagus. The capsule is equipped with a camera at both ends to improve detection of oesophageal pathology, in contrast to the single camera CE systems used for the small bowel. Oesophageal CE is increasingly considered as a potentially useful alternative investigative modality to EGD, given that EGD is more uncomfortable for the patient and more labour intensive to perform^{32,33}. However, currently EGD remains the superior diagnostic tool for the detection of oesophageal pathology (e.g. Barrett oesophagus, oesophageal varices)³⁴.

III. [H1]LIMITATIONS OF CAPSULE ENDOSCOPY

As shown in Table 1, the differences between available WLI capsules lie mainly with the number of imaging devices, the frame rate and battery life. Typically, these battery-powered WLI capsules consist of one or more imagers (typically with a resolution of 320×240 pixels³⁵), 4–6 light emitting diodes (LEDs) to provide illumination, an onboard microprocessor, and a telemetry system for transmitting images to an external receiver attached to a data logger that is worn by the patient. Although the imaging resolution of CE is less than the high-definition resolution possible with conventional endoscopy owing to the constraints of miniaturization, numerous studies have demonstrated good diagnostic yield of various CE devices within the small bowel for the detection of OGIB, iron deficiency anemia and coeliac disease^{36,37}. However, the small number of comparative studies conducted so far have shown no meaningful advantage of one type of WLI CE over another^{38–43}.

The reliance of CE solely on WLI technology currently restricts it to the detection of mucosal manifestations of disease and prevents the evaluation of submucosal and transmural pathology⁴⁴. Furthermore, reliance on WLI alone opens up interpretation challenges regarding visually obscured or occult lesions, variability in appearance, non-homogeneous distribution and occurrence in microfoci^{45,46}. Additionally, the specificity of diagnosis based on visual changes is not reliable owing to similarities in the mucosal appearance of different small bowel diseases such as coeliac disease, microscopic colitis and IBD⁴⁷. Finally, sensitivity declines when encountering low grade diseases that have not fully manifested themselves to the human eye⁴⁸.

IV. [H1]TAXONOMY OF DIAGNOSTIC CAPSULES

In the past few years, research on CE as a platform to improve diagnosis of gastrointestinal disease and to understand the underlying pathophysiology has expanded. Two research trends have been observed with regards to diagnosis. The first is the use of alternative imaging technologies, such as ultrasonography, fluorescence imaging and optical coherence tomography (OCT) and the second is the integration of non-image-based sensors for the measurement of physiological parameters such as pH, pressure or temperature. As seen in Table 3, capsules with these capabilities are still in early development with technical challenges to be overcome and further clinical testing required.

[H2]Alternative Imaging

Visualizing the gastrointestinal tract using imaging alternative to WLI has the potential to enable observation of previously unseen features, and to improve diagnostic sensitivity, specificity and accuracy. Attempts to overcome the recognized limitations of WLI in conventional endoscopy have spurred development of alternative imaging technologies such as narrow-band imaging (NBI) and chromoendoscopy^{49,50}. Both methods increase tissue contrast to improve visualization but by different means. NBI incorporates optical filters so that only blue (415 nm wavelength) and green (540 nm wavelength) light is emitted, thereby enhancing the appearance of superficial mucosal capillaries and mucosal surface patterns and increasing hemoglobin absorption to make blood vessels appear darker. In chromoendoscopy, various dye solutions are sprayed on to the gastrointestinal mucosa to improve the detection of subtle mucosal dysplastic changes associated with chronic IBDs, such as ulcerative colitis. Disadvantages of the use of exogenous markers include potentially unequal distribution, pooling which can obscure lesions, and lengthening of the duration of the procedure⁵¹. These imaging modalities have also been integrated into CE^{52–55} but studies have cast doubt on their efficacy compared with standard WLI capsules^{56–59}. Other alternative imaging technologies such as μ US, fluorescent imaging and OCT address some of the limitations associated with WLI technology. Examples of some of these imaging modalities are shown in Fig. 1.

[H3] Ultrasonographic imaging

Following the acceptability of endoscopic ultrasonography (EUS) in routine clinical use, the inclusion of ultrasonography into a capsule was a desirable step to improve CE diagnostic capabilities beyond optical imaging. The development of ultrasonographic capsule endoscopy (USCE) is currently in its infancy and was being developed by a number of teams, including the Khuri-Yakub⁶⁰ group at Stanford University, USA; the Qiu⁶¹ group at the Shenzhen Institutes of Advanced Technology, China; and the Sonopill Program led by Cochran^{3,4,62}, UK. Previous attempts have included the Endoscope Capsule using Ultrasound Technology (TROY)⁶³ project, which was unable to miniaturize the system to fit within the dimensions of a swallowable capsule before the end of the project and work by Lee *et al.*⁶⁴, which was not able to identify a suitable means for achieving long-term rotation of the ultrasound transducer, although they produced a capsule with the required dimensions that was successfully tested in vivo. The common aim of these projects was to develop a capsule capable of transmural gastrointestinal imaging to detect submucosal (intramural) pathology.

At the heart of USCE is the development and integration of a suitable ultrasound transducer. Both Khuri-Yakub et al. and Cochran et al. have explored the possibility of fabricating miniaturized multi-element ring arrays capable of providing a 360° image of the bowel wall^{65,66}. Cochran has also focused on single-element transducers, as have Qiu and Lee^{4,61,64}. Concurrently, Qiu et al. have investigated a mechanical approach using a single-element transducer actuated by an oscillating motor that enables radial imaging of the gut wall⁶¹. Transducer frequency makes an important contribution to USCE function through its strong effect on image resolution (two-point discrimination) and depth of penetration. Conventional EUS typically employs ultrasound frequencies in the range 5–18 MHz, corresponding to axial resolutions of ~0.2–0.8 mm and depths of ~2–8 cm, respectively⁶⁷. Lower frequencies (5–20 MHz) can enable imaging of organs located beyond the wall of the gastrointestinal tract, whereas higher frequencies (≥ 25 MHz) can provide more detailed images of the gut wall. Higher frequencies are employed when staging local tumour burden using a tumour-node-metastasis (TNM) classification system, as they enable reliable means of determining tumour size and invasive extent (T stage) as well as proximal lymph node spread (N stage)^{68–70}.

μ US miniprobosc introduced via the biopsy channel of conventional endoscopes for CRC staging have improved axial and lateral image resolution with higher than conventional EUS frequencies^{68,71,72}. These higher frequencies result in highly detailed subsurface information that includes structural and cellular tissue composition. Additionally, there is a simultaneous decrease in the depth of tissue penetration as the frequency is increased, which has the potential to mitigate confounding information (for example, adjacent bowel loops versus edema) caused by deep penetrating soundwaves at standard frequencies in the 5–20 MHz range⁷³. The Qiu and Cochran groups investigated the potential benefit of high frequency (>25 MHz) ultrasonography for high resolution, known as μ US, in their respective capsules. Cochran has demonstrated the potential of a capsule with multiple single-element ultrasonic transducers operating at 30 MHz in imaging the layers constituting the small bowel⁴. Although USCE is in its early stages, the principle of submucosal bowel wall imaging is attractive as USCE will enable deeper tissue analysis with the potential of detecting transmural inflammation and thus has the capability to assess disease activity in Crohn's disease.

[H3]Autofluorescence imaging

Autofluorescence imaging (AFI) uses short wavelengths of light, typically 380–500 nm, to illuminate tissue, exciting either endogenous or exogenous fluorophores to differentiate healthy and malignant tissue. The incident light absorbed by the tissue causes the fluorophores to emit light at longer wavelengths, typically 490–590 nm. One study has shown that autofluorescence is reduced by a factor of 3 to 12 in malignant tissue compared with healthy tissue⁷⁴.

Various studies have compared the efficacy of conventional AFI endoscopy to that of conventional WLI endoscopy for the detection of gastrointestinal disease. Several of these studies have shown that AFI increases the detection rate of diseases such as colorectal adenoma⁷⁵ and polyps⁷⁶ compared with WLI^{75–77}. The efficacy of AFI in diagnosing IBDs such as ulcerative colitis has also been examined. Studies have shown a correlation between the intensity of AFI images and the severity of the inflammation^{78,79}, with intensity shown to be a useful marker of active inflammation in ulcerative colitis⁸⁰. Because of this potential, there is considerable research interest in the use of AFI with conventional endoscopy, so it was logical that the integration of AFI with CE would be explored to improve the diagnostic capabilities of CE. The integration of AFI CE has led to the development of several prototype imaging devices such as those being created by the Cummings group⁸¹, and has also contributed use of fluorescence as a sensing modality as evidenced by the work of Demosthenous et al.⁸² and Nemiroski et al.⁸³

Single photon avalanche diode (SPAD) imaging arrays, capable of detecting a single photon of light, have been successfully used in AFI CE to improve the detection of endogenous fluorophores at low light intensity, removing the risk of phototoxic reactions and photobleaching of the fluorophores⁸⁴. Furthermore, the use of complementary metal–oxide–semiconductor (CMOS) technology in the fabrication of both the SPAD imager and the associated electronic systems is vital to reduce the power required, allowing the capsule to be powered by silver-oxide batteries for up to 15 hours, a lifetime similar to those of some clinical CE devices.

SPAD imagers have been used in wireless CE prototypes developed by Al-Rawhani *et al.*⁸¹. The highly sensitive SPAD pixels generate a pulse in response to each impinging photon, which enables individual photons due to autofluorescence to be counted. The latest version of the capsule contains a 32 x 32 pixel SPAD imager sufficient to demonstrate the diagnostic potential of this technology⁸¹. However, an increase in resolution is required for routine clinical practice. Illumination in the prototype developed by Al-Rawhani et al. is provided by an inexpensive, compact LED at 468 nm with an emitted power of 78 μ W being sufficient for this imager. Sensitivity is further improved by filtering out light other than that owing to fluorescence emission.

A common drawback of AFI techniques is that the signal from cancerous cells can be obscured by the autofluorescence of healthy cells. The capsule developed by Al-Rawhani et al. has yet to undergo in vivo trials, but benchmark tests have been conducted to assess its capability to detect autofluorescence from FAD, an endogenous fluorophore associated with tumour growth, and the effect of haemoglobin on autofluorescence with a fluorescence

emission peak of 520nm. The minimum amount of FAD detectable with this system is 12.5 μM , rising to 20 μM in a gut-mimicking imaging phantom. Similarly, this capsule can easily detect 20 μM of fluorescein isothiocyanate (FITC). The system can also detect a reduction in autofluorescence upon the introduction of haemoglobin⁸¹.

Capsules capable of sensing autofluorescence or fluorescence, as opposed to imaging capsules such as the Al-Rawhani capsule, were created for gastrointestinal diagnosis by Demosthenous et al.⁸² and Nemiroski et al.⁸³. These devices detect light with photo-diodes rather than SPAD imagers. Demosthenous et al.⁸² used their CE device to measure the changing level of fluorescent light generated by low concentrations of an exogenous infrared fluorescent marker (indocyanine green (ICG)) to screen for cancer in ex vivo porcine small intestine. ICG was chosen as the fluorophore, as it is used to tag cancerous cells with a fluorescent signal in other regions of the gastrointestinal tract^{85,86} and the absorption spectrum can be modified through changes in concentration. This latter property enables the excitation wavelength to be altered for optimal detection of specific pathologies or improved tissue penetration; for instance, at low concentrations, such as those expected in small cancers, the optimum excitation wavelength is 780 nm. Increasing the concentration causes a second absorption wavelength to appear at 708 nm^{82,87}. Demosthenous and colleagues designed their autonomous system for use with 780nm wavelength excitation, as the deeper tissue penetration depth at this wavelength is more suitable for detecting small cancers^{88,89} and the rate of false positives arising from endogenous fluorophores within surrounding tissue that emit light in the ultraviolet and other parts of the visible spectrum is reduced⁹⁰. The functionality of this capsule has yet to be determined in an in vivo environment. However, ex vivo experiments using porcine intestinal tissue have demonstrated the capability to detect nanomolar to micromolar concentrations of ICG, which are comparable to those that would be expected from tagged small cancers⁸².

Nemiroski and colleagues designed their wireless capsule to detect gastrointestinal bleeding (GIB)⁸³. Although WLI CE can be used to identify blood in the stomach, it cannot easily differentiate between past and active GIB in the stomach. Such differentiation is achieved in the Nemiroski capsule through the intravenous injection of fluorescein, a fluorescent contrast agent. Fluorescein is chosen as a proxy for active GIB as it is FDA compliant, has a quantum yield of ~90% and the optical spectrum (absorption peak at 494 nm, emission peak at 512 nm) does not overlap with the autofluorescent spectrum of gastric juices (absorption peak at 288 nm; emission peak at 350 nm). The distance between the two pairs of emission and absorption peaks enables fluorescein to act as a biomarker for the detection of blood in the stomach. The capsule uses a miniaturized fluorometer consisting of a LED, optics and photodiode for

detection of the emitted fluorescent signal. The LED peak wavelength is 465 nm, and the use of filters limits the light from sources other than the fluorescein that is detected by the photodiode. Benchtop tests have demonstrated that the system can detect concentrations of fluorescein as low as 20 nM. However, the performance of the system varies with the pH of the stomach as the spectral properties and quantum yield of fluorescein shift with pH, which can be problematic after the patient ingests water. In vivo trials have yet to be carried out with this capsule.

[H3]Optical coherence tomography

OCT is a volumetric imaging technology capable of micrometre scale resolution that operates by scanning an optical beam across the sample and measuring the time delay and intensity of backscattered or back-reflected light⁹¹. Improvements in the speed and sensitivity of OCT technology⁹¹, coupled with an image resolution comparable with that achieved with conventional histological analysis of excised biopsy (10 µm axial and 30 µm lateral resolution), make this an attractive technology for real-time, in vivo virtual biopsy applications. OCT was successfully applied to in vivo studies of the duodenum in 2005^{92,93}. An image was reconstructed from the measured light that demonstrated that the intestinal villi could be observed⁹³. Studies in 2007 showed that OCT combined with EGD could be successfully used to detect coeliac disease by analysis of the villous morphology from the OCT images, with a sensitivity of 82% and specificity of 100% achieved in a study of 132 paediatric patients⁹⁴. The potential for OCT in the diagnosis of IBD has been demonstrated by several studies, with the first reporting that transmural inflammation detected by OCT could distinguish Crohn's disease from ulcerative colitis with a sensitivity of 90.0% and specificity of 83.3%⁹⁵. A subsequent study also found similar discrimination between ulcerative colitis and Crohn's disease⁹⁶. Both of these studies involved 2D images; although a subsequent study generated 3D reconstructions of the colon, rectum and anal verge in patients with ulcerative colitis that visualized the presence of large subsurface voids, ulcerations and the absence of a regular crypt pattern⁹⁷.

Attempts to integrate OCT into CE have so far been limited to two, independently produced, tethered capsule endoscopes for diagnosing oesophageal pathology^{98,99}. In both referenced cases, the tether encases an optical fibre used to transmit light from an external light source to internal optics that are mechanically scanned to produce the image. The tethered capsule developed by Gora et al.⁹⁸ was 12.8 mm in diameter and 24.8 mm long with side-viewing OCT capable of generating radial images at 20 frames per second with 30 µm lateral and 7 µm axial resolution in humans. This capsule rotated the embedded OCT device using a drive shaft located within the tether sheath. The tethered capsule could be safely used on non-sedated patients, and it could be easily passed down the oesophagus owing to normal swallowing-induced peristaltic force and pulled back manually. However, though

manual pullback is convenient and simple compared to motorized control, it does not provide the stability or repeatability required for high-resolution volumetric OCT, such as *en-face* OCT, otherwise known as C-Scan OCT that produces transverse images beyond the mucosal surface, especially at the low frame rates achievable with the actuation mechanism used.

Volumetric OCT was achieved with the tethered capsule developed by Liang et al.⁹⁹ during in vivo studies on porcine models. The capsule was 12 mm in diameter with a length of ~35 mm. This capsule utilized an OCT device that circumferentially scanned the light from an external source by a microlens that was rotated by an onboard DC micromotor. Longitudinal scanning could be performed by either the manual force applied to the entire capsule via the tether for large field coverage or by distal pneumatic actuation of an internal carriage within a stationary capsule for small field coverage with high stability. An advantage of using an integrated longitudinal scanning stage is the ability to track non-uniformities along the scan trajectory, which facilitates compensation in post-processing. The use of a semi-rigid tether removed the need for peristaltic propulsion as it made manual positioning easier than with the soft tether used by Gora et al.⁹⁸ However, the authors found that the semi-rigid tether made it difficult to achieve smooth longitudinal scanning owing to difficulty in moving the tether at a constant speed of less than a few millimeters per second. Despite these limitations, the tethered OCT capsule achieved an axial resolution of 8.5 μm in tissue and scanning frequencies of 250 Hz and was able to produce volumetric scans with 1,750 frames over an area of 1.3 mm^2 and a depth of 3.5 mm in 7 seconds.

Currently, OCT has not been utilized in a wireless capsule format owing to several remaining technical challenges. One issue is the need to replace the external light source with a battery powered light source within the capsule. Another is the need for short image acquisition times for real-time *en-face* imaging so that that scans can be produced within a capsule subject to peristaltic motion.

[H3]Ionizing Radiation

Ionizing radiation is a high frequency electromagnetic wave or particle, such as x-rays, of sufficient energy to remove an orbital electron from an atom. X-rays and other ionizing radiation can damage or destroy living tissue, so care must be taken to avoid unnecessary or excessive exposure. Alternatively, ionizing radiation can pass through the tissue of the human body and the interaction between this radiation and the tissue can be recorded to generate images of the internal structure of the body.

Although the development of capsule endoscopy is seen by many as a means of imaging the gastrointestinal tract without the use of ionizing radiation¹⁰⁰, the limitation of current, commercially available CE to mucosal imaging has spurred development of a range of capsules capable of sub-mucosal imaging, such as OCT and μ US. The ionizing radiation imaging C-scan system from Check-Cap is the closest to market and has recently gained approval from the FDA for a pilot study¹⁰¹. The C-scan system (Check-Cap, Isfiya, Israel) is an autonomous X-ray-based CE system that includes an external data logger and workstation. The novel aspect of this system is the use of a weak X-ray source to image the colon transmurally; furthermore, because of the nature of this imaging modality, bowel preparation consists solely of ingestion of iodine-based contrast agent taken with normal meals during capsule passage^{102,103}.

The C-scan CE device is 11.4 mm in diameter and 34 mm in length. The X-ray source is a short-lived radioisotope, ¹⁹¹Os, with a half-life of 15.4 days, which was chosen to balance the need for a complete examination with environmental concerns regarding device disposal after use. The emitted 65–75 keV X-rays are divided into three rotating beams, enabling a 360° view of the colon wall. Image formation depends on two types of energy returned to the capsule. The first step occurs when emitted photons interact with the ingested iodine contrast agent, producing X-ray fluorescence at a low energy of 27 keV. The second step occurs with Compton scattering of the photons at 52–60 keV. By measuring these two events separately, distances from the capsule to colon can be calculated and wall abnormalities can be detected. The capsule is also equipped with an accelerometer and a magnetometer to enable capsule or pathology localization for follow-up. Acquired data is transmitted wirelessly to external receivers located on the patient, and the system is completed by a workstation that enables a number of 2D and 3D image reconstructions^{103,104}.

A pilot study consisting of 46 individuals between 45 and 68 years of age of unknown gastrointestinal status and assumed to be healthy assessed the functioning C-scan device¹⁰². A parallel study performed on volunteers, aged 41–70 with no known gastrointestinal pathology, involved the swallowing of a non-functioning capsule was, used to assess device safety¹⁰². Preparation for examination involved daily ingestion of up to 50–70 ml iodine solution with food until the capsule had transited. One patient experienced a retained capsule in the caecum that was retrieved via conventional colonoscopy during the scheduled follow-up. No other adverse events were reported. The average radiation dose per patient was calculated to be 0.03 ± 0.0007 mSv; for comparison, a typical effective dose for a posterior to anterior chest x-ray is 0.02 mSv¹⁰⁵ and the dose for a similar 3D CT colonography image is ~8.8 mSv,

with the specific dose dependent upon the performing institute¹⁰⁶. The detection of pedunculated and sessile polyps, as confirmed by subsequent standard colonoscopy, was reported. Despite the lack of quantitative human data, in vivo pig trial results indicated the device had sufficient resolution to detect 5 mm diameter implanted silicone beads¹⁰². As stated by the authors, further validation and direct comparison of the system with standard colonoscopy is required¹⁰².

[h2]Biophysical Measurements

Changes in gastrointestinal activity, such as transit time can indicate a pathological condition. To aid this situation several groups have adapted CE to measure changes in physical parameters of the gastrointestinal tract, such as pressure and myoelectric activity. Ingestible capsules to measure other physical parameters, such as temperature, have also been developed for sports medicine rather than gastroenterology and are described here.

[H3]Temperature

CorTemp by HQ (Palmetto, FL, USA) is an FDA-approved, wireless capsule that measures internal body temperature with an accuracy of $\pm 0.1^{\circ}\text{C}$. Applications for monitoring internal body temperature are found in several areas, such as sports physiology, firefighting, research and medicine, occupational safety and military^{107,108}. These devices have not been used for the diagnosis of GI disease owing to the non-specific nature of temperature changes as a biomarker. One capsule that has been used in the diagnosis of GI disease is the SmartPill (Medtronic, Yoqneam, Israel), which along with an integrated pH and pressure sensor also incorporates a temperature sensor, though this is used, in conjunction with the other sensors, to aid the measurement of transit time through the various regions of the GI tract. The temperature sensor has a range of $20\text{--}40^{\circ}\text{C}$ with an accuracy of $\pm 1^{\circ}\text{C}$ and is measured at a frequency of 0.05 Hz. This capsule is discussed in greater detail in the section describing pH-sensing capsules.

[H3]Manometry

Consumed food is moved along the GI tract via the periodic peristaltic contraction of muscles in the oesophagus, stomach, small and large intestines. During and after consumption, highly irregular contractions mix the food and digestive enzymes in the small intestine while slowly moving them towards the large intestine. These contractions are defined as the motility of the gastrointestinal tract. An estimated 40% of gastrointestinal conditions worldwide are associated with abnormal gastrointestinal motility (dysmotility), resulting in abdominal discomfort, pain and other intestinal problems for 20% of the general population^{109,110}. For example, small intestine dysmotility may be

symptomatic of irritable bowel syndrome, gastroparesis and chronic idiopathic constipation¹¹¹. However, why and how dysmotility occurs is not fully understood.

Current methods of diagnosing dysmotility include serosally attached electrodes, barium X-ray imaging, scintigraphic imaging and electrogastrography¹¹². Endoscopic manometry is a commonly used procedure; this technique involves transnasal insertion of a modified catheter containing a series of pressure sensors into the small intestine. The pressure sensors record the contractile behavior of the gastrointestinal tract for subsequent clinical assessment¹¹³. As with many endoscopic procedures, manometry can be uncomfortable for patients and most of the small intestine cannot easily be reached. Other methods use balloons to measure the motion of the bowel wall, electropotential recordings associated with peristaltic activity and abdominal acoustic emissions have been found to be imprecise compared with endoscopic manometry¹¹⁴. A minimally invasive, reliable and versatile diagnostic tool is therefore an attractive development target for the detection and accurate characterization of gastrointestinal tract dysmotility.

Ingestible motility capsules (IMCs) offer an attractive alternative to other test modalities as they provide real-time *in-situ* information about the environment of the gastrointestinal tract, such as pressure, temperature, pH, transit time and potentially capsule location, without the need for ionizing radiation or discomfort to the patient. The acquisition of the gastrointestinal pressure profile via an IMC could replace conventional antroduodenal and colonic studies that use invasive and less well-tolerated manometric catheters to quantify the contractile pressure patterns. Assessment of the pressure profile captured by a motility capsule has the potential to provide richer motility information beyond that currently recorded¹¹⁵.

The SmartPill is the only FDA-approved, wireless CE device with pressure sensing capabilities currently on the market¹¹⁶. SmartPill contains a temperature sensor (25–49°C), a pH sensor (pH 0.05–9.0), a single pressure sensor with an operating range 0–46 kPa and a sensitivity of ± 0.650 kPa that can record the pressure of its environment, and an internal antenna that can transmit captured data wirelessly in real time at 433 MHz. The capsule is enclosed in a non-digestible polyurethane shell, battery powered, and is intended for single use. The SmartPill can be used to measure gastric emptying time and small bowel, colon and whole gut transit times by evaluating combined pressure, pH and temperature profiles.

Though studies have utilized the SmartPill to diagnose gastroparesis¹¹⁷ and chronic idiopathic constipation¹¹⁸, the presence of a single pressure sensor does not provide sufficient manometric information as it records only the intraluminal pressure in the gastrointestinal tract and is unable to provide information on peristaltic behaviour^{116,117,119–121} unlike conventional manometry. The recommendation by the American and European Neurogastroenterology and Motility societies for the use of SmartPill for regional and whole gut transit time evaluation in individuals with alterations to GI motility in single or multiple regions¹²² can be predominantly attributed to the multimodal approach of using the pH, pressure and temperature sensor data together. Recording both contractile and intraluminal pressure requires an array of pressure sensors arranged longitudinally and radially around the capsule that are sensitive to pressure changes < 0.133 kPa and can operate between 0 and 25 kPa are required to capture the weak peristaltic or segmentation contraction of the GI tract¹¹⁹.

Additionally, while not reported for SmartPill, other prototype pressure sensing capsules of a similar design are susceptible to interference from respiration and heartbeat that dominates the signal and requires further filtering^{123,124}. Despite these limitations, improved patient acceptance of IMCs in comparison to conventional manometry¹¹⁶ and the non-invasive potential of the IMC to capture multiregional GI dysmotility is advantageous in comparison to other methods. However, further work is required before these devices can provide information comparable to conventional manometry.

[H3] Electrophysiology

Gastrointestinal motility involves complex behavior governed by hormonal, myogenic and neurogenic factors that act together to mix and propel material along the gastrointestinal tract. In the small intestine, slow waves generated by the interstitial cells of Cajal (ICC) cause smooth muscle cells lining the intestine to polarize and depolarize, leading to contraction and relaxation respectively. Determining the pathophysiological role of these cells in dysmotility has attracted substantial research interest¹²⁵. Several methods have been used to investigate the electrophysiology of the gastrointestinal tract in clinical settings. One approach is the non-invasive transcutaneous measurement of the myoelectric activity of the small bowel via electrogastroenterography (EEnG). However, transcutaneous EEnG suffers from several problems, such as interference between EEnG and cardiorespiratory signals and low EEnG signal amplitude¹²⁶. The relationship between clinical presentation and EEnG data is weak, which hinders clinical acceptance¹²⁶. One method to improve this involves the integration of EEnG within a wireless, ingestible capsule.

Woo *et al.*¹²⁷ reported the design, construction and testing of a wireless CE capable of measuring the slow waves generated by ICC. This 11 mm diameter, 21 mm long capsule contained two surgical steel electrodes that contacted with the surrounding mucosa and detected the changing electrical potentials of the intestinal smooth muscles, before subsequent amplification and filtering. The system could operate for up to 18 hours with two coin-size batteries similar to those used in conventional capsule endoscopes. The capsule was tested *ex vivo* using two, freshly excised, samples of porcine intestine immersed in a modified Krebs solution. The results demonstrated successful detection of myoelectric activity within these tissue samples, but only at a fixed point owing to frictional forces. The two electrodes limited the radial resolution of any electrophysiological mapping of the small intestine but, owing to the passage of the capsule through the intestine, the longitudinal resolution was limited only by the distance between the electrodes. No further results from this research have been provided have been published since 2010, though the authors have adapted some of this technology as a means of electrical propulsion of a CE through the GI tract¹²⁸.

[H2]Biochemical Measurements

Gastrointestinal disease can be associated with a change in the biochemical profile of luminal contents; for example, levels of fecal calprotectin are elevated in patients with IBD. CE offers a unique opportunity to perform minimally invasive screening *in situ*, which could enable monitoring of gastrointestinal disease progression when coupled with improved capsule localization methods. Capsules capable of measuring pH, hemoglobin and changes in microbiome metabolic byproducts have been developed in several studies, with varying degrees of success.

[H3]pH

The pH of a healthy gastrointestinal tract varies with location, time since ingestion, age and diet^{129,130}. Changes in pH are often used in the diagnosis of gastroesophageal reflux disease (GERD)¹³¹ and the measurement of GI transit time through the use of CEs with suitable sensors. However, other studies have sought to demonstrate the potential of changes in pH as a marker of other GI diseases with mixed results^{132–135}.

Earlier studies used a battery-powered, wireless ingestible pH telemetry capsule with an onboard transducer comprising two reference electrodes, the output of which is sent to an external data logger via an integrated radiofrequency transmitter¹³⁶. Although these capsules were reported to experience up to 75% signal loss caused by poor alignment between the transmitting and receiving aerials they were considered an improvement over other methods previously used *in vivo*. Some studies have demonstrated that ulcerative colitis can cause a decrease in pH

within the right colon^{132,133}, whereas results for other IBDs such as Crohn's disease have been contradictory^{134,135}. Fallingborg et al. found that three of six patients with ulcerative colitis had a proximal colon pH of 2.3 to 3.4¹³². The other three patients had normal luminal pH profiles of between 6.8 - 7.4 at the proximal colon. In two other studies, Nugent et al. measured a reduction in colonic luminal pH to <5.5 in two of six patients with ulcerative colitis when compared with healthy controls¹³³ and Press et al. observed a slight increase in the higher right colonic luminal pH in 11 patients with ulcerative colitis compared with healthy control individuals¹³⁴. Owing to the limited sample sizes, further work is needed to verify these studies and demonstrate whether pH can act as a realistic and specific biomarker for IBD or IBD severity.

Since the development of these initial capsules, three other devices capable of detecting changes in luminal pH have become available commercially. The wireless BRAVO capsule (Medtronic, Yocneam, Israel) is a 26mm x 6.3mm device that is designed primarily to detect gastroesophageal reflux disease (GERD) by sensing and recording oesophageal pH for up to 96 hours¹³⁷. The wireless Intellicap capsule (Philips, Eindhoven, Netherlands) is an 11 mm diameter, 27 mm long capsule for targeted drug delivery that uses its pH sensor to determine when to empty the contents of its drug reservoir into a specific region in the gastrointestinal tract. Initial tests conducted on 10 human volunteers with the Intellicap showed that using the pH profile for capsule localization agreed with the position determined with scintigraphy in all volunteers¹³⁸. This capsule has been assessed primarily for therapeutic delivery^{139–141}.

SmartPill is approved¹¹⁶ to investigate gastrointestinal motility via integrated pH, pressure and temperature sensors that are previously described. The temperature and pressure sensing capabilities of this device have been previously discussed. The battery life of the capsule is specified to be up to 5 days, enabling data transmission throughout the entire gastrointestinal tract until excretion. Such a long battery life is achieved partly by adjusting the sampling rates of the sensors after 24 h¹⁴². Protocols for measuring the transit time of the capsule from the rate of change of pH along the gastrointestinal tract have been defined¹⁴³.

Although gastrointestinal motility studies using CE to date have involved small sample sizes of <100 participants and had difficulties in experimental design (particularly with respect to selection of participants¹⁴⁴), it has been suggested that the detection of gastric emptying time with CE devices such as SmartPill in patients with suspected gastroparesis has a sensitivity and specificity similar to that of gastric scintigraphy¹⁴⁵, which is commonly used to

measure transit time across the length of the GI tract. A systematic review that compared the diagnostic capability of CE devices to gastric scintigraphy, antroduodenal manometry and endoscopy in the diagnostic accuracy of gastric emptying delay, motility assessment and treatment decisions¹¹⁵ found, with a low strength of evidence, that SmartPill alone was comparable to gastric scintigraphy. A comparison of the diagnoses obtained with SmartPill and gastric scintigraphy showed an agreement of 59–86% for positive test results and 64–81% for negative test results. Overall agreement was in the range of 35–81%. The SmartPill offers a nonradioactive alternative to transit testing modalities, that can provide comparable measurements of gastric emptying time, small bowel transit time, colon transit time and whole gut transit time in a single device, reducing the need for separate regional tests¹¹⁶.

Although CE has primarily used pH to assess motility, there have been some studies investigating changes in pH as a means to detect disease using CE. In one study of 16 patients with IBS defined by Rome III criteria and 16 age-matched control individuals, no differences in the transit times, gastrointestinal motility and ileal pH were found between the two groups using the SmartPill¹⁴⁶. However, cecal pH was lower in patients than in the control individuals (5.12 ± 0.05 vs. 6.16 ± 0.15 , $P < 0.0001$), which in turn, meant that the change in the ileo-cecal pH was also greater in patients than in controls ($-33.8\% \pm 0.84$ vs. $-18.7\% \pm 1.5$, $P < 0.0001$). A moderate correlation between cecal pH and right colonic contractility was also observed ($r = 0.54$, $P = 0.002$). The authors observed a correlation between measured values of cecal pH and contractile behavior and theorized that the more acidic environment detected in patients with IBS is attributable to excessive fermentation in the cecum, and associated production of short chain fatty acids. This excessive fermentation is thought to be the cause of the reduced proximal colonic motor activity that was detected. They also suggested that cecal pH measured via CE provides a useful measure of colonic fermentation, which might aid in the classification of patients with a broad spectrum of functional gastrointestinal disorders such as bloating and distension.

[H3]Soluble Biomarkers

Various soluble biomarkers can be found within the intestinal lumen, such as proteins, enzymes, microbes and their metabolic products¹⁴⁷. Some attempts have been made to integrate sensors for the detection of these substances in CE devices. Up to now, these sensors have utilized either electrochemical^{148,149} or optical^{150–152} methods.

The single electrochemical sensing capsule^{148,149} incorporates a multi-electrode sensor with onboard potentiostatic circuits to enable cyclic and pulsed voltammetry of the gastrointestinal fluid surrounding the capsule. To date, these capsules have been tested only *in vitro* using fecal water to demonstrate repeatable and reliable measurement.

However, the shapes of voltammograms produced by the metal electrodes of the electrochemical sensors were shown to change with time¹⁴⁸. This result was partially attributed to the adsorption of organic matter onto the electrode surface, which would result in a reduced effective area for the electrochemical reactions used to sense the surrounding gastrointestinal fluid. Mass transfer of the analyte to the sensor surface determines the response of electrochemical sensors. Hence, a change in surface area of the working electrode could potentially lead to erroneous measurement of the concentration of the constituent soluble biomarkers of interest, raising questions about the long-term stability of these devices.

The design of optical sensing capsules has been focused on detection of gastrointestinal bleeding using colorimetry¹⁵², spectroscopy¹⁵¹ or fluoroscopy⁸³. The colorimetric CE system developed by Qiao et al.¹⁵² used a hue–saturation light color detection method on blood cells selectively channeled into a measurement chamber. This chamber included white LEDs for illumination, a colour sensor and an adsorptive colour-sensitive film that undergoes a change from white to red in the presence of hemoglobin. *In vitro* trials with different blood concentrations showed that the system could measure hemoglobin concentrations as low as 2.375 mg per ml, which is reported to be less than that found in areas of GIB¹⁵³.

The wireless spectroscopic HemoPill¹⁵¹ (OVESCO, Tuebingen, Germany) has been tested in a preliminary human trial¹⁵⁴. The battery-powered capsule is 6.5 mm in diameter, 25.5 mm long and contains an optical sensor to measure the optical absorption at 415 nm between an LED and a photodetector across a recessed channel. At this wavelength optical transmission through blood is at a minimum and is three orders of magnitude less than transmission at a reference wavelength (720 nm). The optical sensor compares the change in absorption at 415 nm to the reference signal at 720 nm to detect haemoglobin. Initial tests were performed on a healthy volunteer with simulated gastrointestinal bleeding under a variety of conditions through periodic ingestion of 20 ml blood. The results were compared with baseline readings from the same volunteer under the same conditions without blood intake. The capsule successfully detected simulated gastrointestinal bleeding after each ingestion of blood, with the detection algorithm showing a correlation ($R^2 = 0.9016$) between changing sensor signal within 10 min of capsule ingestion and increased gastric blood concentration.

In 2018, Mimee et al. reported the development of a wireless capsule able to detect gastrointestinal bleeding in a porcine model using genetically engineered bacteria that luminesce in the presence of blood¹⁵⁵. The *Escherichia coli*

bacteria were also modified to enable the detection of thiosulfate and acyl-homoserine lactone, which are potential biomarkers of gut inflammation and infectious bacteria, respectively ¹⁵⁵.

[H3]Gases and volatile organic compounds

The gut microbiome is a vast community of diverse microorganisms that is important for intestinal homeostasis and is linked to diseases such as IBD and CRC ¹⁴⁷. Direct intraluminal characterization of the microbiome is not possible with current technology. Instead the microbial populations of stool samples are a commonly used proxy of the gut microbiome in clinical research due to the ease of collection. However, studies have shown some difference between fecal and gut microbiomes¹⁵⁶. Indirect measurement of the microbiome could be achieved by monitoring their metabolic byproducts¹⁴⁷ as the competition for resources between different microbial populations can lead to deviations in concentrations of by-products of microbial metabolism such as acetic acid, propionic acid and butyric acid, carbon dioxide, hydrogen, methane, ammonia, hydrogen sulfide and volatile fatty acids¹⁵⁷.

Kalantar-Zadeh *et al.* developed a wireless CE device that was successfully used to provide real-time measurements of the level of gases such as hydrogen, carbon dioxide and oxygen along the gastrointestinal tract in five human volunteers¹⁵⁸. The 9.8 mm diameter, 26 mm long capsules included a non-specific, semiconducting metal oxide sensor responsive to all oxidizing gases under aerobic and anaerobic conditions. This sensor was calibrated to detect hydrogen, carbon dioxide and oxygen. Intestinal gas entered the capsule through a semi-permeable membrane containing embedded nanoparticles that excluded water. The capsule was capable of operating for more than 4 days, and its excretion could be detected using an onboard temperature sensor. Capsule localization was achieved by measuring oxygen concentration levels throughout the gastrointestinal tract. This localization method successfully detected the gastric, small and large intestinal transit times for solid food. Recording the changing levels of hydrogen provided a means of understanding the microbial fermentation of food in the gut, the anaerobic process by which most small bowel and colonic microbiota obtain energy. However, attempts to correlate the changing levels of gas with diet types and fecal microbiomes of the volunteers were inconclusive. The accuracy of hydrogen and oxygen measurements was better than 0.2%, whereas the accuracy of carbon dioxide measurements was 1%. This capsule was a refinement of a device that had been tested in animal models^{159,160}, but previous capsules did not have oxygen and temperature sensors and the algorithm used to determine the gas levels was not as accurate in separating overlapping signals from the hydrogen and carbon dioxide sensors.

V. [H1] TRENDS FOR NON-WLI CAPSULES

The increasing variety of sensors and imaging technologies adapted for use in conventional and capsule endoscopy is opening up new avenues of research. One area is virtual biopsy, which uses high-resolution transmural imaging technologies to enable in situ, real-time histological examination without the need for a physical biopsy. Virtual biopsy is defined as the ability to make a histological examination by inspection of a site of interest in vivo using specific imaging modalities¹⁶¹. Although much of the work done to date has utilized conventional endoscopy because of the wider range of modalities available and absence of miniaturization and integration challenges caused by the space limitations of CE^{162–165}, some efforts that have successfully demonstrated that virtual biopsy is possible in CE^{4,98,99}. Another area of research opened up by the utilization of sensor technology is computer aided diagnosis (CADx) that promises to automate the identification and interpretation of pathology^{166,167}. Though these two technologies have demonstrated promising results in laboratory and pre-clinical studies but they are still in their infancy and further work is required to assess the accuracy, sensitivity and specificity of these techniques in CE before they gain wider clinical acceptance.

A technical challenge unique to CE is localization. Currently, commercially available CE devices are passively moved through the GI tract by peristaltic forces and the clinician is unable to control the motion of the capsule or position if an area of interest is observed. The location of the capsule at these sites relative to some known frame of reference is required to enable further treatment or to follow-up with additional observations. Localization of the capsule is the subject of much research in CE, and the following section gives a brief overview of the advantages and disadvantages of some of the methods used.

[H2]Virtual biopsy

Histological evaluation of tissue obtained from biopsy is a vital part of medical diagnosis. Biopsies can be performed routinely during routine endoscopy through integrated interventional channels. However, capsules are hindered by limited payload capacity, unstable positioning and imprecise capsule/pathology localization. An alternative method to analyse tissue and achieve the same goal as histopathology that could be integrated into future CE devices is virtual biopsy. Theoretical advantages of this method include decreased risk of biopsy-induced adverse events, faster diagnosis and the potential for reduced costs owing to the absence of further tissue processing and pathologic review^{161,168}. However, these advantages may be offset by the cost associated with increased surveillance frequency,

missed detection of a malignant process¹⁶¹ and technical challenges such as limited telemetry bandwidth of wireless CE.

Not all imaging modalities are suitable for virtual biopsy in CE. Suitable modalities must be able to acquire subsurface images of a resolution sufficient to view cellular structure within a timeframe of tens of milliseconds¹⁶⁸ to avoid motion artifacts due to respiration or other causes. This precludes modalities such as WLI CE, as well as chromoendoscopy, endomicroscopy, endocytoscopy as they are limited by the maximum depth of light penetration of $\sim 50\mu\text{m}$ ¹⁶⁹. Currently, most research into virtual biopsy focuses primarily on OCT, confocal laser endomicroscopy and μUS . Of these OCT and μUS have been utilised in CE^{4,98,99}. Examples of images obtained with OCT and μUS are shown in Fig. 2.

OCT is an attractive imaging technology for this application as it is capable of rapid volumetric imaging of mucosal and submucosal structures of the oesophagus in microscopic detail (axial resolution: $\sim 10\mu\text{m}$, lateral resolution: $\sim 30\mu\text{m}$) and has been successfully demonstrated in ex vivo and in vivo trials^{91,98,170,171}. Various studies using OCT with conventional endoscopy have demonstrated high-resolution volumetric images comparable to those obtained from histology. Furthermore, an accuracy of 92.7%, was reported for the detection of oesophageal carcinoma¹⁷² and a study of 33 patients with Barrett oesophagus demonstrated accuracy, sensitivity and specificity of 78%, 68% and 82% respectively for the detection of dysplasia¹⁷³. Initial demonstrations of OCT in CE have thus shown its potential in differentiating between healthy and abnormal tissue⁹⁸. However, many of the current OCT CE devices require further integration and miniaturization to remove the tether to the external illumination source before this technology can be used to image the entire GI tract.

Confocal laser endomicroscopy is slower because it can acquire only one image at a time as it scans through various focal depths, and is therefore not suitable for CE¹⁷⁴. Moreover, it has a small field of view, making it impractical to screen large areas of the bowel, and requires exogenous fluorescent markers to ensure good sensitivity⁹¹ and has not been currently miniaturised for use in CE. As previously noted, μUS can image mucosal and transmural pathology, which might enhance its use for virtual biopsy when combined with other diagnostic modalities¹⁷⁵. This modality has been used in conventional endoscopy through the use of fragile mini-probes that can be inserted into the biopsy channel. These mini-probes can reach frequencies only as high as 30MHz but results of clinical trials have demonstrated the successful detection of Barrett oesophagus¹⁷⁶, oesophageal cancer¹⁷⁷ and colorectal tumours⁶⁸

with accuracies of 88-98%, 84% and 88% respectively. Initial development of μ US CE has shown good agreement between the ultrasound image and histology⁴ but further work is needed to verify whether μ US CE can achieve comparable or superior performance consistently.

Virtual biopsy has been proposed to replace histology in many settings^{3,178,179} when endoscopic imaging technology matures and proficiency with these tools has increased. However, for this to be the case, high accuracy achievable with virtual biopsy methods must be established. In many of the studies published to date, the sensitivities and specificities of virtual biopsy techniques using conventional endoscopy are respectively within 90.0% and 83.3% respectively for distinguishing Crohn's disease from ulcerative colitis using OCT⁹⁵ and within 80 – 90% for the detection of lesions¹⁸⁰. In the latter case, whether such performance is acceptable owing to the risk of cancer development if lesions are missed, is unclear. Additionally, as most of the research on virtual biopsy to date has been done with conventional endoscopy, further work will be needed to verify whether these results are comparable with CE due to the mobile nature of the capsule as well as the effect of increased miniaturization and denser modality integration on the system performance.

[H2]Localization

CE device localization is defined as knowledge of the position and orientation of the capsule with respect to either gastrointestinal tract anatomy and targets (internal localization) or to external reference systems such as antennas (external localization). This information is essential for accurate capsule navigation and to accurately and reliably map lesions and pathologies in the gastrointestinal tract with respect to internal or external frames of references for diagnosis, treatment and monitoring^{181,182}.

Combining pathology detection and classification methodologies, such as the CADx methods discussed in the next section, along with internal and external CE localization not only enables repeated monitoring of the same disease sites but also assists accurate and reliable active locomotion of CE devices, mainly in the case of magnetically-driven, closed-loop navigation in non-rigid environments. The deformability of the gastrointestinal tract requires real-time knowledge of the pose of the capsule with respect to the surrounding unstructured environment, and *vice versa*. Thus, a hybrid approach, combining internal and external localization, together with autonomous or semi-autonomous detection (depending on the accuracy, sensitivity and specificity of the detection method) and classification of pathologies, is required for the next generation of active locomotion capsules and smart endoscopes.

Autonomous or semi-autonomous detection of pathologies using CE prior to their internal or external localization, is mainly performed using embedded cameras with the support of advanced machine learning techniques^{183,184}. Embedding different sensing and imaging modalities into CE is very promising for both robotic control and diagnosis as these methods enable virtual reconstruction of the internal structure of the gastrointestinal tract, potentially improving localization accuracy.

Internal localization of CE is performed mainly through optical imaging techniques, such as lumen reconstruction-based methodologies using sparse or dense depth-reconstruction techniques¹⁸⁵ or through structured light 3D scanners¹⁸⁶. It is used primarily to aid navigation of CE, perform direct intervention on diseases and retarget pathological sites for subsequent treatment or follow up. Internal localization is often combined with external localization for computer-aided active capsule locomotion within laboratory prototypes^{185,187}. A detailed discussion of CE locomotion is available elsewhere¹⁸⁵.

Current external localization methods such as radio frequency triangulation are integrated in the PillCam systems. Radio frequency localization algorithms are based on triangulation of the telemetry signals emitted by the CE device by external antennae (usually eight) located around the abdomen. This method was experimentally determined to have an average and maximum positional error of 37.7 mm and 114 mm respectively^{188,189}. Accuracy is low but can be considered adequate for current wireless passive CE devices as they not require additional modules to be integrated and they do not require accurate pose information due to the lack of active motion control.

Several academic teams have focused their research on other external localization techniques based on magnetic field sources that look to be a promising solution for active locomotion of CE devices, as a compromise between integration of components in a small space, computational complexity and overall accuracy. The main advantage of these approaches over other localization methodologies is that low-frequency magnetic signals can pass through human tissue without attenuation, which can be an advantage over radio frequency approaches depending on the frequency¹⁹⁰; additionally, magnetic sensors do not need line-of-sight vision to detect the capsule. Finally, the position and orientation accuracy of a magnetic-based localization approach is usually superior to that of radio frequency localization methods. For example a study by Taddese *et al.*¹⁹¹ using magnetic-based localization was able to achieve a position and orientation accuracies lower than 5mm and 6° respectively. Magnetic localization

methods are expected to take the lead over radio frequency approaches when they mature, and new design solutions for capsules with the required integrated sensors are achieved.

[H2]Computer aided diagnosis

Currently, CE devices can produce up to six frames per second (PillCam SB3, Given Imaging), generating thousands of images during passage through the gastrointestinal tract. Screening of the images can take 0.5 – 1 hr for a single human reader using high-speed reading techniques¹⁹², which can lead to between 6%-20% of occurrences of pathology being missed^{192–195}. This issue will be exacerbated both by an increase in the number of modalities provided by a capsule and by increased CE usage owing to reduced costs.

Initial approaches to automate aspects of capsule data interpretation using color image analysis to create a suspected blood indicator have been developed. However, reception by the clinical community has been mixed^{196–198} owing to its limited sensitivity and specificity, which one study¹⁹⁹ has characterized as 56.4% and 33.5% respectively.

CADx can be defined as the use of computer algorithms to process and interpret medical data for the purposes of identifying pathology. This approach is relatively new in gastroenterology but similar techniques are widely used in radiology, with several systems already approved by the FDA²⁰⁰. Algorithms to automate the detection of lesions^{201–203}, ulcers^{204–206}, tumours^{207–211} and polyps²¹² from images and video taken with commercial WLI CE devices in the gastrointestinal tract have been reported, with bleeding^{196–198,213–216} receiving most attention as it is often an indication for many GI disorders, such as CRC and Crohn's disease²¹⁷. Some studies have begun to address the identification of more than a single abnormality, with methods reported for simultaneous detection of small bowel ulcers and polyps²¹⁸ and of gastrointestinal bleeding and ulcers²¹⁹. This task is not trivial, as demonstrated in the study by Gan²⁰³, which illustrated the varying accuracy of an algorithm based on the separation and identification of suspected enteric lesions by their associated colors in correctly identifying different types of enteric lesions because of the complexity and diverse multiformity. Comparing the effectiveness of these proposed methods of CADx to each other in detail is difficult owing to the lack of standardized datasets of CE images. The reported accuracy, sensitivity and specificity of some of the studies found in the literature are shown in Table 4, although accurate comparison of these algorithms is limited due to the lack of standardized data sets.

To date, no studies have been performed on the use of CADx in conjunction with capsule modalities other than WLI because of the limited number of non-WLI CE devices available. However, initial studies on the use of CADx with non-capsule based endoscopy has been conducted using modalities such as OCT^{220–222}, autofluorescence²²³ and NBI^{224–227}. A study comprised of 88 patients with 163 lesions to assess the efficacy of color analysis of AFI endoscopy images in the computer-aided differentiation of intramucosal lesions and superficial submucosal cancer from submucosal deep cancer. This method demonstrated sensitivity, specificity and accuracy of 80.0%, 84.4% and 84.1% respectively²²³. Initial work by Garcia-Allende et al. on excised gastrointestinal tissue found that automated morphological analysis of OCT images had sensitivity, specificity and accuracy as high as 99.7%, 99.85% and 99.88%, respectively, for identifying tumour tissue ²²⁰. Subsequent in vivo studies by Ughi et al. used a tethered capsule-like device to identify Barrett oesophagus on human volunteers. Results showed sensitivity, specificity and accuracy of 94%, 93% and 94% respectively. However, the study population was limited with one healthy control and two with oesophageal abnormalities²²¹. These studies demonstrate that CADx has the potential to be used with specific non-WLI modalities to detect various gastrointestinal pathologies.

VI. [H1]CONCLUSIONS

Looking ahead, several issues need to be addressed to translate this nascent research in non-white light imaging CE into wider clinical practice (Box 1). Many CE devices are being developed with various imaging and sensing modalities to overcome the limitations of WLI, but these are still in early stages of development with many yet to undergo in vivo animal and human trials. Furthermore, the clinical efficacy of the devices that have been used in humans still needs to be verified as the studies conducted to date are typically limited by small sample sizes. Large clinical trials are required to ascertain the diagnostic yield of these non-WLI capsules for various pathologies.

The specialization of CE, already seen to some extent with WLI CE devices modified to perform better in different parts of the GI tract, will probably continue. By combining multiple modalities within a single device, the diagnostic potential will be increased beyond what can be achieved with single modality devices. A multimodal approach will facilitate both CADx, as already observed with conventional endoscopy, and virtual biopsy by improving accuracy, sensitivity and specificity. However, the combination of modalities for the diagnosis of specific gastrointestinal diseases still needs to be determined. Other technical challenges include improved localization, which is crucial for virtual biopsy to enable accurate, regular monitoring of pathology.

VII. ACKNOWLEDGEMENTS

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TABLES

Table 1: Comparison of Commercially Available Capsule Endoscopes

Model	Length (mm)	Diameter (mm)	Imaging	Field of View	Direction	FPS	Drug Delivery	Sensors	Battery Life (hrs)	Clinical Condition	Reference
Medtronic											
SmartPill	26	13	NA	NA	NA	NA	No	Pressure, pH, Temperature	120	D	228
BRAVO			NA	NA	NA	NA	No	pH	48	GERD	229
ESO2	26	11	CMOS	312°	Front and Back Viewing	18	No	NA	0.5	GERD,D,BO	29,30,230
COLON2	32.3	11.6	CMOS	344°	Front and Back Viewing	4-35	No	NA	10	CRC,IBD	29, 30
UGI	32.3	11.6	CMOS	344°	Front and Back Viewing	18-35	No	NA	1.5	OGIB	231, 30
SB	26	11	CMOS	140°	Front Viewing	2	No	NA	8	OGIB, CD, C	232, 30
SB-2	26	11	CMOS	156°	Front Viewing	2	No	NA	9	OGIB, CD, C	232, 30
SB-3	26.2	11.4	CMOS	156°	Front Viewing	2-6	No	NA	11-12	OGIB, CD, C	232, 30
Olympus											
EC1	26	11	CCD	145°	Front Viewing	2	No	NA	8	OGIB, IBD, C,CRC	233, 29
EC1-S10	26	11	CCD	160°	Front Viewing	2	No	NA	12	OGIB, IBD, C,CRC	234, 29
Aquilant Endoscopy											
OMOM 2	25.4	11		140°	Front Viewing	2	No	NA	6-8	OGIB	235, 236
Capsovision											
Capsocam Plus	31	11	CMOS	360°	Side Viewing	20	No	NA	15	OGIB, CD	230,234,237,238
Intromedic											
MicroCam	24.5	10.8		170°	Front Viewing	3	No	NA	11-12	OGIB	92,193
Motilis											
MTS2	20	8	NA	NA	NA	NA	No	Motility sensor	Unknown	D	239,240

Ovesco											
Hemopill	25.5	615	NA	NA	NA	NA	No	Optical blood sensor	Unknown	OGIB	154
Medimetrics											
Intellicap	27	11	NA	NA	NA	NA	Yes	pH, Temperature	48	DD	241

BO- Barrett oesophagus, C- Coeliac Disease, CD – Crohn's Disease, CRC- Colorectal Cancer, D – Dysmotility, DD- Drug Delivery, GERD- Gastroesophageal Reflux Disease, NA – Not applicable, OGIB – Obscure Gastrointestinal Bleeding

Table 2: Summary of guidance on the use of CE

Pathology	European Society of Gastrointestinal Endoscopy ⁷²⁴²			American Gastroenterology Association ⁶		
	Key guidance on the use of CE	Recommendation	Evidence quality	Key guidance on the use of CE	Recommendation	Evidence quality
OGIB	First-line for investigation of OGIB.	Strong	Moderate	Perform CE as soon as possible for overt, OGIB episode.	Strong	Very low
				Recommended for selected cases with OGIB and unexplained mild chronic IDA.	Strong	Low
IDA	First-line for (IDA) following inconclusive results from conventional endoscopy.	Strong	Moderate	<i>Included under OGIB</i>		
Small bowel tumour	Recommended when OGIB and IDA are unexplained.	Strong	Moderate	NA	NA	NA
Polyposis syndromes	For surveillance of small bowel in patients with Familial adenomatous polyposis and Peutz-Jeghers syndrome.	Strong	Moderate	For ongoing surveillance of small bowel in patients with polyposis syndromes.	Conditional	Very low
Coeliac disease	Not recommended for suspected coeliac disease. Can be considered in patients unable or unwilling to undergo conventional endoscopy.	Strong	Low	Not recommended for suspected coeliac disease. Can be considered in patients unable or unwilling to undergo conventional endoscopy.	Strong	Very low
Crohn's disease	Recommended for suspected Crohn's disease with negative ileocolonoscopy findings and absence of obstructive symptoms.	Strong	Moderate	Recommended for suspected Crohn's disease with negative ileocolonoscopy findings and absence of obstructive symptoms.	Strong	Very low
colorectal cancer	CE can be considered in patients for whom conventional endoscopy is inappropriate or not possible.	Grade D*	Level 4	CE can be considered in patients for whom conventional endoscopy is inappropriate or not possible.	Strong	Very low

Reporting of recommendation follows the GRADE approach²⁴³. *Recommendation for colorectal cancer grading uses the amended SIGN system²⁴⁴. OGIB, obscure gastrointestinal bleeding; IDA, iron deficiency anemia; CE, capsule endoscopy;

Table 3: Development stage of capsule endoscopes of various diagnostic and imaging modalities

Sensing modality	Technical progress	Primary intended location of use in gastrointestinal tract	Refs
White light imaging	In clinical use	Entire tract	29 , 232 , 231 , 233 , 234 , 235 234 , 245,246
Non-white light, optical imaging (NBI, chromoendoscopy)	In clinical use	Entire tract	52–55
pH	In clinical use	Entire tract	136 , 228 , 229 , 241
Temperature	In clinical use	Entire tract	107,108 , 228 , 241
Biomarkers	In vivo human trials (Ovesco Hemopill) In vitro trials (all others)	Stomach (Ovesco device)	148–152
Electrophysiology	Ex vivo trials	Small Bowel	127
Fluorescent imaging	Ex vivo trials	Small Bowel (Demosthenous device) Stomach (Nemiroski device)	81 , 82 , 83
Gas sensing	In vivo, human trials	Large Intestine	158–160
Ionizing radiation	In vivo, human trials	Large Intestine	103 , 104 , 102
Manometry	In vivo, human trials	Entire tract	228
Optical coherence tomography	In vivo, human trials	Oesophagus	98 , 99
Ultrasonography	In vivo, animal trials	Small Bowel (Sonopill device) Entire tract (Stanford device)	60 , 61 , 62 , 63 , 64

NBI, narrow band imaging

Table 4: Accuracy, sensitivity and specificity of in vivo, computer aided diagnosis for human gastrointestinal pathologies using capsule endoscopy

Pathology	Total number of images in dataset	Number of images in dataset with pathology	Sensitivity	Specificity	Accuracy	Year	Reference
Bleeding							
	5000	1000	99.00%	94.00%	95.00%	2014	²¹³
	607	220	93.84%	NR	92.86%	2014	²¹⁴
	100	45	82.30%	89.10%	NR	2012	²¹⁵
	100	50	80.00%	95.30%	94.40%	2014	¹⁹⁸
	2400	400	92.00%	96.50%	95.75%	2016	²¹⁶
	1200	600	99.41%	98.95%	99.19%	2015	¹⁹⁷
	7648	1933	92.32%	95.07%	94.50%	2018	¹⁹⁶
Inflammatory and Vascular Lesions							
	137	77	95.01%	83.02%	89.01%	2014	²⁰²
Common lesions and Angioectasia							
	52374	4156	78.6 – 9.4%	92.1%-30.5%	91.3%-35.7%	2008	²⁰³
Polyps							
	18968	230	47.4%	90.2%	NR	2013	²¹²
Ulcers							
	160	80	82.50%	100%	91.25%	2009	²⁰⁴
	260	130	84.51%	88.56%	86.54%	2013	²⁰⁵
	137	65	96.62%	91.67%	94.16%	2015	²⁰⁶
Tumour							
	120	60	88.60%	96.20%	92.40%	2012	²⁰⁷
	1200	600	92.33%	88.67%	90.50%	2011	²¹¹
	1800	900	97.80%	96.70%	97.30%	2016	²⁰⁸
	3000	700	93.90%	93.10%	NR	2012	²⁰⁹
	600	200	97.20%	97.40%	NR	2009	²¹⁰

NR, not reported .

FIGURES

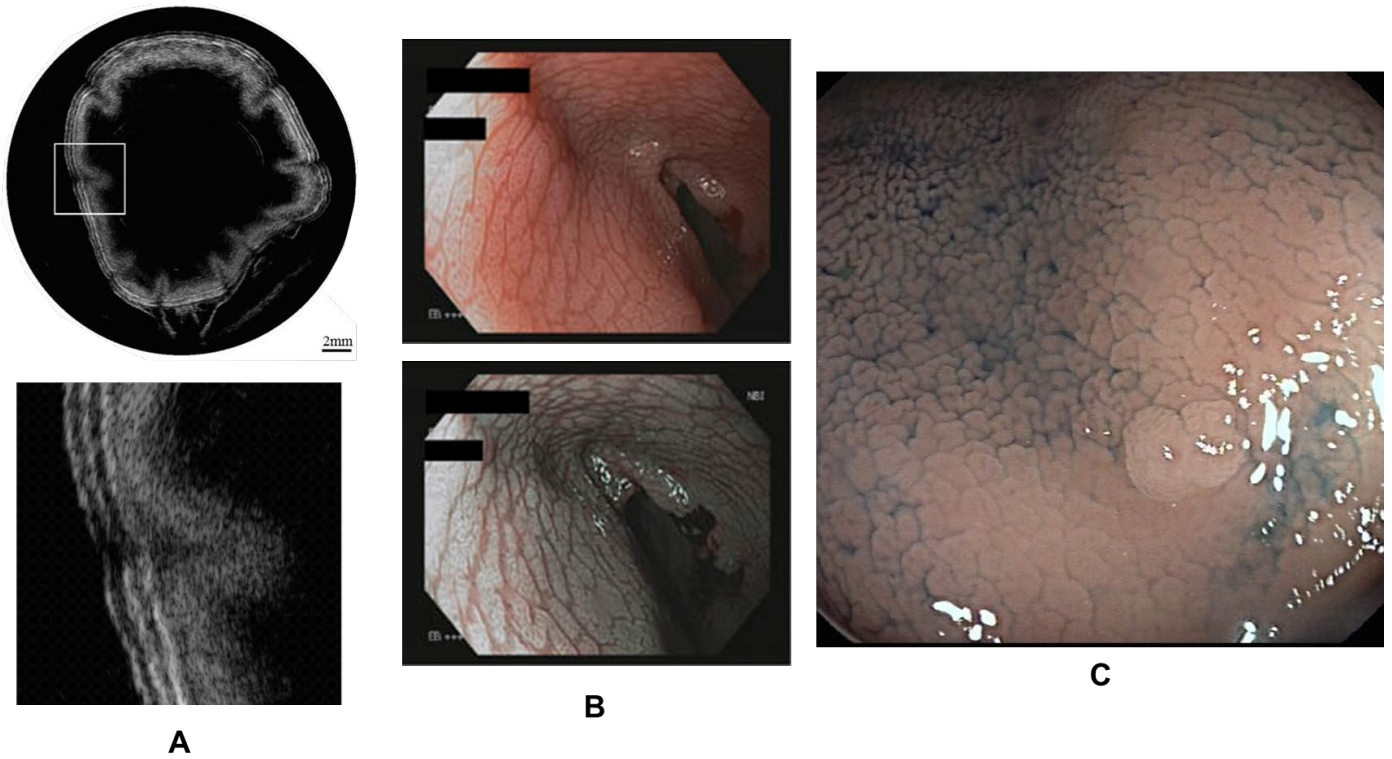


Fig. 1 Examples of images obtained using alternative imaging technologies. a | In-Vitro imaging of porcine bowel using 39 MHz ultrasound capsule endoscope⁶¹. b | Images of the duodenum in coeliac disease. The top panel was obtained using white light imaging and the bottom panel was obtained using narrow band imaging. Images courtesy of E. Toth. c | Image of polyps obtained using dye chromoendoscopy using 0.2% indigo carmine. Image courtesy of A. Koulaouzidis

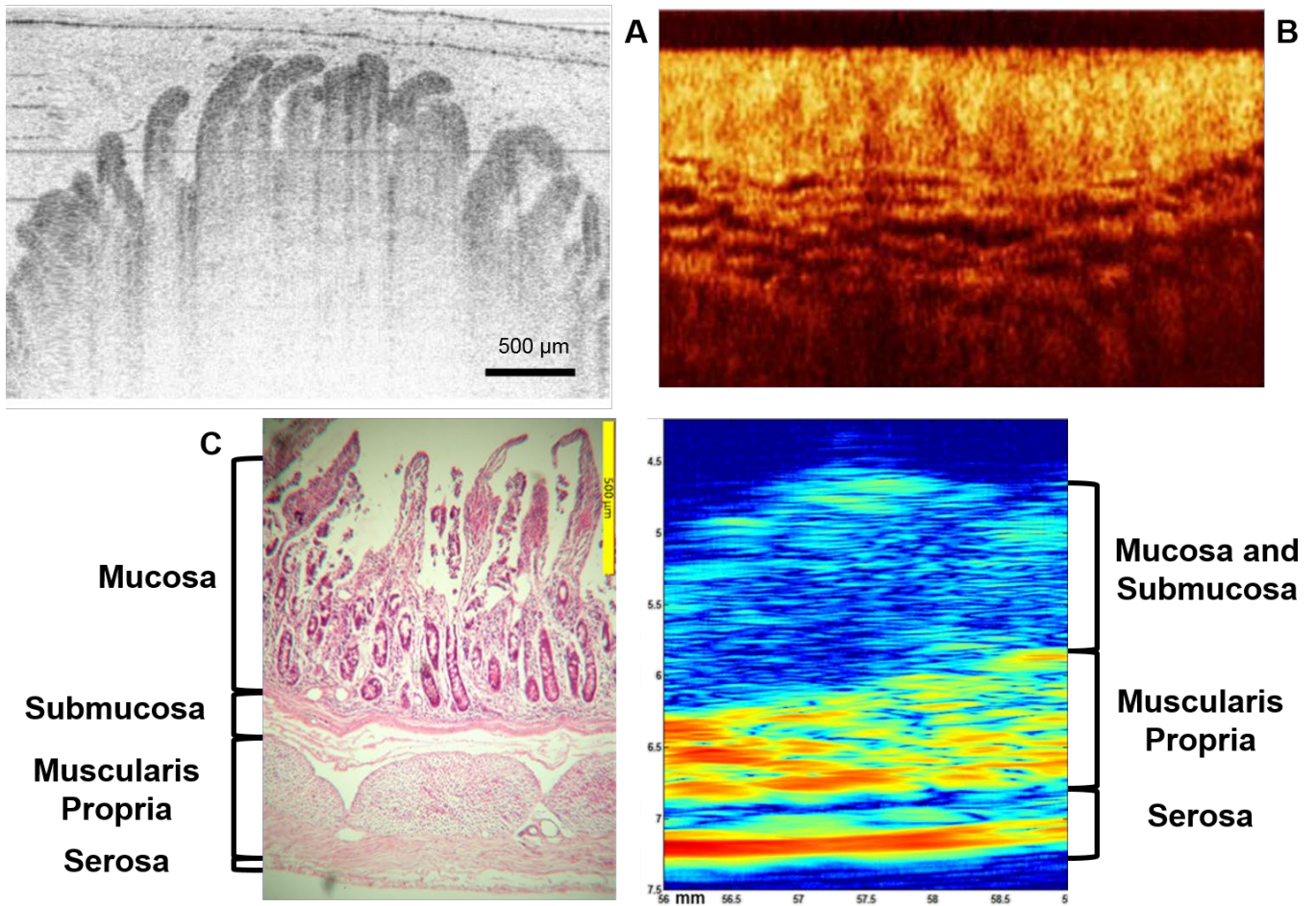


Fig. 2 Modalities for virtual biopsy. a | Cross-sectional image of intestinal villi captured using optical coherence tomography⁸³. b | OCT image of hyperplastic polyp¹⁷¹. c | A slide of haemotoxylin and eosin-stained porcine small bowel, which shows good agreement with a 47MHz micro-ultrasound scan of fresh frozen porcine bowel tissue⁷²

Box 1

- White light imaging capsule endoscopy is limited to surface visualization and limited specificity of diagnosis due to similarities in the mucosal appearance of different small bowel diseases.
- Research and development of non-white light imaging capsules has increased in recent years.
- Some of these non-WLI capsules are now commercially available, such as the SmartPill.
- Most of the non-WLI capsules are still at the prototype stage, and the diagnostic efficacy of their sensing and imaging modalities, as well as their cost-benefit ratio needs to be validated through extensive clinical trials.
- Non-WLI capsules capable of measuring pH, pressure changes, concentration of chemicals and much more have been developed.
- One challenge of WLI and non-WLI CE is the need for accurate means of determining the position of the capsule at all times to enable the localization of pathologies for subsequent follow-up.
- Non-WLI capsules such as those capable of OCT or micro-ultrasound have the potential to provide CE with the capability of providing real-time, in vivo, high-resolution transmural imaging that could remove the need for tissue biopsy.
- One challenge for clinicians in the future will be the increasing amount of data that will need to be reviewed for diagnosis due to the increased use of WLI and non-WLI CE.
- This challenge has spurred research into computer aided diagnosis (CADx), whereby algorithms are used to automate the identification and interpretation of pathologies
- The lack of standardized data-sets has impeded the accurate comparison of various CADx algorithms.
- CADx may require the use of multiple modalities in non-WLI CE to improve accuracy and specificity, which will require challenges related to miniaturization, integration and power consumption of CE systems to be overcome.

GLOSSARY

Compton Scattering: The scattering of a photon by a charge particle that results in a decrease in energy of the photon.

Cyclic Voltammetry: A type of voltammetric experiment where the potential is varied as a linear function of time. It is one of the most commonly used electrochemical techniques.

Micro-cancers

Photobleaching: The permanent loss of fluorescence in a fluorophore due to photon-induced chemical damage

Potentiostatic circuit: An electronic circuit that enables the control of the voltage difference between electrodes in an electrochemical cell.

Pulse Voltammetry: A type of voltammetric experiment where the varying potential consists of a series of increasing amplitude, with the potential returning to the initial value after each pulse.

Quantum yield: Quantum yield refers to the number of times a specific event occurs per photon absorbed by the system in a radiation induced process.

Single element transducer: A device that generally consists of a piezoelectric material housed in a casing that can both transmit and receive ultrasound signals.

Voltammetry: An electrochemical experiment used to identify a substance by how the current flowing through it changes as the potential is changed.

Voltammograms: A plot of cell current versus. the potential arising from a Voltammetry experiment

Volumetric Imaging: A sequence of 2D images that are grouped together to form a 3D image of a volume of space

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